Attorney Docket: 091/005P

T-115 P.001

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qeron J. Michael Schiff, Ph.D. GERON CORPORATION 230 Constitution Drive Menlo Park, CA 94025 Phone: (650) 473-7715 Fax: (650) 473-8654

# Facsimile Transmittal Sheet

Date:

April 2, 2004

To:

Art Unit 1632

U.S. PATENT AND TRADEMARK OFFICE

Fax #:

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From:

J. Michael Schiff, Ph.D.

18 (including cover and ending sheet) Page 1 of

#### **OFFICIAL FILING**

- Transmittal / Fee Transmittal
- Amendment and Response

for

USSN 09/849,022

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PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/849,022 Filing Date TRANSMITTAL May 4, 2001 FORM First Named Inventor Joseph D. Gold, et al. Art Unit (to be used for all correspondence after initial filing) 1632 Examiner Name Thai-An N. Ton Attorney Docket Number 091/005P Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication ~ Fee Transmittal Form (in ouplicate) Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group ✓ Petition Amendment/Reply (13 pages) (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm J. Michael Schiff, Registration No. 40,253 Individual name Signature Date 2ራ6ዛ CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being lassimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Karen Zielen April 2. 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

T-115 P.003

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SUBMITTED BY (Complete (if applicable))								
Name (Print/Type) J. Michael Schiff	Registrat		253 Telephone (650) 473-	7715				
Signature	(Attorney)	myoni)	Date Prod 2/0					
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FEE TRANSMITTAL			cation l	Numbe	er	09/849,022				
for FY 2004 Effective 10/01/2003. Patent fees are subject to annual revision.		Filing	Date			May 4, 2001				
		First	Named	Inven	tor	Joseph D. Gold, et al.				
		Examiner Name				Thai-An N. Ton				
Applicant claims small entity status. See 37 CFR 1.27	37 CFR 1,27 Art Unit					1632				
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1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submis	rty (times number of properties) a submission after final rejection				
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\$UBMITTED BY (Complete (if applicable))										
Name (PnnuType) J. Michael Schiff		Registration No. 40,253 Telephone (650) 473-7715					3-7715			
Signature		Altorney	AGBN?)		,	Date	(bu) (a)			

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Name

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Joseph Gold et al.

Filing Date: May 4, 2001

Serial No: 09/849,022

Docket: 091/005p

Title: GENETICALLY ALTERED HUMAN

PLURIPOTENT STEM CELLS

Art Unit: 1632

Examiner: Thái-An N. Ton, Ph.D.

## AMENDMENT AND RESPONSE TO OFFICE ACTION UNDER 37 CFR § 1.111

Commissioner for Patents Alexandria VA 22313

Dear Sir.

This paper is responsive to the most recent Office Action on the merits, dated January 16, 2004, for which a response is due April 16, 2004. Accordingly, this paper is timely filed.

Applicant has given careful consideration to the analysis in the Office Action. Please enter the following amendments and remarks.